

Aspects of holography and irrelevant deformations

lecture 1 :
effective string theory

References :

Polchinski - Strominger '91, 1203.1054

31.03.2021

Irrelevant deformations of 2d CFTs (mostly $T\bar{T}$)

- Provide us with novel examples of **nonlocal but UV-complete** theories.
- These deformations are universal and share many features of **quantum gravity (QG)**, i.e. they're 2d toy models of QG
- Holographically, they can be used to study **3d gravity in a box** (with a finite cutoff)
- They also lead to **new models of holography** for asymptotically flat spacetimes (**relevant for the RW**) and warped AdS (**relevant for BHs**)

Before we discuss (or even define) this kind of deformations, let us first consider the "simplest toy model of QG": an infinitely long *harmonic free* string

Shares many features with QG:

- minimal length (*theory is nonlocal*)
- universal time delay ($\Delta t = l_s^2 \epsilon$)
- lack of local off-shell observables *

In particular, this model is *nonrenormalizable* but

- features a "good" S-matrix valid in the UV
- exhibits a new kind of RG flow: *asymptotic fragility*.

The last two features are particularly interesting. For example in GR:

$$S_{EH} = \frac{1}{16\pi G} \int d^4x \mathcal{L} \sim \int d^4x \left(\partial h \partial h + \underbrace{l_p h \partial h \partial h + l_p^2 h^2 \partial h \partial h + \dots}_{\text{nonrenormalizable interactions}} \right)$$

nonrenormalizable interactions

Different ways to fix NR theories like GR:

UV



IR

• add new dof (as in string theory)

• hope for the best (asymptotic safety)

• The infinitely long string does not flow to a UV fixed point.

• It is the simplest example of a $T\bar{T}$ deformed QFT.

The infinitely long string

Polchinski-Stringer (PS): the standard quantization of the string cannot

be used to describe an effective string in D -dimensional Minkowski.

eg. DCD flux tubes, cosmic strings

D -dimensional

Let $X^M(\sigma, \tau)$ denote the embedding of the string in \hat{D} -dimensional Minkowski spacetime.

Physical excitations of the string: $D-2$ dops. On the other hand

• lightcone quantization: broken Lorentz invariance if $D \neq 26$

• covariant quantization: $D-1$ dops if $D \neq 26$

• noncritical strings: $D-2 + 1$ dops \rightarrow Liouville mode

The PS term

reparametrization invariance

PS added a nonlocal term to the action to make it conformal

$$S = \frac{1}{4\pi} \int d^2\sigma \left\{ \underbrace{\frac{1}{l_s^2} \partial_+ X^\mu \partial_- X_\mu}_{l_s^0} + 2\beta \underbrace{\frac{\partial_+^2 X^\mu \partial_- X_\mu \partial_+ X^\nu \partial_-^2 X_\nu}{(\partial_+ X^\mu \partial_- X_\mu)^2}}_{l_s^2} + \dots \right\}$$

polydhow string

Unique term with weight (1,1) up to total derivatives, constraints

Conventions: (τ, σ) : worldsheet coordinates

X^μ : target space coordinates, $\mu = 0, \dots, D-1$

(∂_+, ∂_-) : $\left(\frac{\partial\tau + \partial\sigma}{2}, \frac{\partial\tau - \partial\sigma}{2} \right)$

The PS term

reparametrization invariance

PS added a nonlocal term to the action to make it conformal

$$S = \frac{1}{4\pi} \int d^2\sigma \left\{ \underbrace{\frac{1}{l_s^2} \partial_+ X^\mu \partial_- X_\mu}_{\text{Polyakov string}} + 2\beta \underbrace{\frac{\partial_+^2 X^\mu \partial_- X_\mu \partial_+ X^\nu \partial_-^2 X_\nu}{(\partial_+ X^\mu \partial_- X_\mu)^2}}_{\text{Unique term with weight (1,1) up to total derivatives, constraints}} + \dots \right\} + \mathcal{O}(R^{-4})$$

Polyakov string

Unique term with weight (1,1) up to total derivatives, constraints

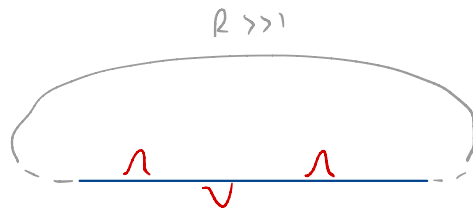
The PS term becomes local when expanded around the long string background:

$$X^M = X_{cl}^M + \delta X^M$$

↙

$$X_{cl}^0 = R\sigma$$
$$X_{cl}^1 = R\tau$$

↓
perturbations



It is not difficult to check that (use $\delta X^M = -\epsilon_+ \partial_+ X^M - \frac{\beta l_s^2}{2} \partial_+^2 \epsilon_+ \frac{\partial_- X^M}{\partial_+ X^M \partial_- X^M} + \mathcal{O}(R^3)$)

$$T(z)T(0) = \frac{D + 12\beta}{2z^4} + \frac{2T(0)}{z^2} + \frac{\partial T(0)}{z} + \mathcal{O}(R^2)$$

$$\beta = \frac{26-D}{12} \Rightarrow C_T = C_p + C_{ps} + C_g = 0$$

Another consequence of the PS term (use the Virasoro constraints)

$$M^2 = \frac{R}{2l_s^2} - \frac{D-2}{12R} + \mathcal{O}(R^2)$$

long string $\hookrightarrow D-2$ dofs!

Conclusion: the effective field theory (EFT) description of the string is valid

for any D , features $D-2$ dofs, and nonrenormalizable terms suppressed by l_s .

EFT of the long string in static gauge

The PS action has gauge redundancy: D number of x^μ fields but only $D-2$

def. In particular it features an infinite number of nonrenormalizable terms that must be determined order by order in l_s .

An alternative approach is to consider the static gauge where $x^0 = \tau$, $x^1 = \sigma$.

In this case we can think of the long string as a system of $D-2$ Goldstone

bosons that realize D -dimensional Lorentz nonlinearity.

the symmetries that are spontaneously broken by the string

Collins - Coleman - Wess - Zumino

Consider D fields $X^M = (\tau, \sigma, x^i(\tau, \sigma))$ that nonlinearly realize the Poincaré symmetry so that the x^i transform as $x^i \rightarrow x^i + \alpha_0^i$. Then, the Lorentz invariant Lagrangian is the sum of geometric invariants built out of

$$h_{\alpha\beta} = \partial_\alpha X^M \partial_\beta X_M :$$

$$S = - \int d^2\sigma \underbrace{\sqrt{-h}}_{\text{metric}} \left\{ \underbrace{ds^2 + \alpha_0^{-1} (v_{\alpha\beta}^i)^2 + \dots}_{\text{Lorentz invariant}} \right\}$$

the Nambu - Goto (NG) action
(in static gauge):

$$S_{NG} = - \frac{1}{\ell_s^2} \int d^2\sigma \sqrt{-h}$$

coefficients of the effective
action determined from experiment.

We ignore these!

The NG action

The NG action is a valid IR description of the string in any D valid for modes much larger than l_s . This action is clearly nonrenormalizable:

$$S_{NG} = - \frac{1}{4l_s^2} \int d^2\sigma \left[\# \partial_\alpha x^i \partial^\alpha x^i + c_2 (\partial_\alpha x^i \partial^\alpha x^i)^2 + c_3 (\partial_\alpha x^i \partial_\beta x^i \partial^\alpha x^j \partial^\beta x^j) + \dots \right]$$

How do we fix these coefficients? ($c_2 = -1/2$, $c_3 = 1$) Why only terms w/ derivatives?

At high energies, the additional geometric invariants we have omitted become important and the $D \neq 26$ theory must be UV completed appropriately.

At $D = 26$ the NG theory is UV-complete by itself and becomes equivalent to the

polymer string.*

The NG action

In contrast to the usual treatment of the NG action, here we are assuming there are no other fields than the 0-2 X^i fields - there is no B-field and no dilaton.

In particular we are considering a free string with $g_s = 0$.

The $g_s = 0$ theory turns out to be nontrivial. We will show this by finding the non-perturbative S-matrix. Before we do this we must consider two puzzles:

1. Where is the PS term? Why haven't we added it to the action?
2. Can we define an S-matrix for massless fields in 2d?

Perturbative analysis

Why can't we add the PS term to the NG action. There are two reasons:

1. The PS term is nonlocal in $h_{\mu\nu}$ and in fact is given by

$$S_P = \frac{26-D}{192\pi} \int d\sigma^2 \sqrt{-h} R \frac{1}{\square} R \quad \rightarrow \quad \text{In the noncritical string this term comes from the measure.}$$

2. The PS term breaks the nonlinearly realized boosts!

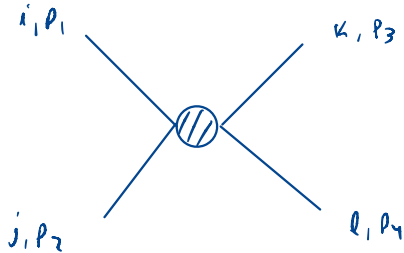
It must be the case that the ^{effect of the} PS term is already included in the NG action.

We look for the PS term in $2 \rightarrow 2$ scattering. Note that since the PS term is of $\mathcal{O}(\alpha'^2)$, it corresponds to a one-loop effect. (Also know of $R \frac{1}{\square} R$ of NC string).

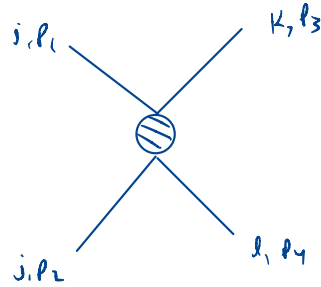
The $SO(D-2)$ fibrewise symmetry of the X^i fields restrict the scattering amplitudes to

$$M_{ij,kl} = A \delta_{ij} \delta_{kl} + B \delta_{ik} \delta_{jl} + C \delta_{il} \delta_{jk}$$

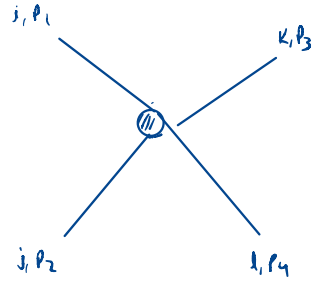
$i \quad j \quad k \quad l$
 $p_1 \quad p_2 \quad p_3 \quad p_4$



$$s = -(p_1 + p_2)^2$$



$$t = -(p_1 + p_3)^2$$



$$u = -(p_1 + p_4)^2$$

crossing symmetry: $A(s, u, t) = A(s, t, u) = B(t, s, u) = C(u, t, s)$

We consider first the contributions to $\mathcal{O}(l_s^2)$ to the amplitude. Loop corrections

are of order $\mathcal{O}(l_s^n)$, $n \geq 4$.

The relevant part of the NG action for tree and one-loop contributions is:

$$S_{NG} = - \frac{1}{4\ell_s^2} \int d^2\sigma \left[\# \partial_\alpha x^i \partial^\alpha x^i + c_2 (\partial_\alpha x^i \partial^\alpha x^i)^2 + c_3 (\partial_\alpha x^i \partial_\beta x^i \partial^\alpha x^j \partial^\beta x^j) \right]$$



Tree level: A quick computation reveals that

$$A_{tree} = - \frac{\ell_s^2}{4} \left[\underbrace{(c_3 + 2c_2)}_0 s^2 - 2c_3 \underbrace{tu}_0 \right]$$

Note that in 2d we have (hint: use lightcone variables and $s+t+u=0$)

$$t=0 \Rightarrow u=-s \quad \text{or} \quad u=0 \Rightarrow t=-s$$

So in 2d we find that

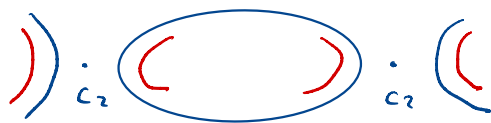
$A=0$, no annihilations at tree level!

In $d > 2$ the wrong symmetry would imply $B=C=0$. In 2d we have:

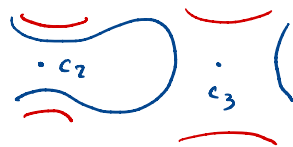
$$M_{ijkl} = -\frac{\hbar s^2}{2} \left(\delta_{ik} \delta_{jl} \underbrace{su}_{-s^2} + \delta_{il} \delta_{jk} \underbrace{st}_{-s^2} \right)$$

This result is universal (valid for any D) and will be useful later.

One-loop: The one-loop calculation receives contributions of several "fish" diagrams:



$\sim D-2$



independent of D

momenta
flow

We see that the different topologies can lead to special values of D !

A puzzle: in dimensional regularization we have divergences which require the addition of counterterms. The only tensors at $\mathcal{O}(k_s^4 s^3)$ compatible with the linearly realized $ISO(1,1) \otimes SO(0,2)$ symmetries are

$$\underbrace{\partial_\rho x^j \partial_\tau x^i \partial_\alpha \partial^\beta x^i \partial^\alpha \partial^\delta x^j}_{\text{comes from } \int d^2\sigma \mathcal{F} \wedge \mathcal{R}}, \quad \underbrace{(\partial_\alpha \partial_\beta x^i \partial_\delta x^i)^2}_{\text{the SP term } \int d^2\sigma \mathcal{R} \frac{1}{D} \mathcal{R}}$$

The Ricci scalar is a total derivative in 2d but it must be included in dim reg where it helps us regulate divergences:

$$S_{ct} = -\frac{D-8}{48\pi\epsilon} \int d^2\sigma \mathcal{F} \wedge \mathcal{R}$$

Actually the divergent amplitude has $A \propto \sigma^2$ which vanishes in 2d exactly (meaning $B=C=0$)

After a lengthy calculation we find that the finite part of A is given by:

$$A_{1\text{-loop}} = -\frac{\ell_s^2}{192\pi} \left\{ \underbrace{(D-26)}_t s^3 + stu \left[\frac{160+4}{3} - 2(D-8) \log \frac{-s}{\mu^2} \right] + 12tu \left(t \log \frac{s}{t} + u \log \frac{s}{u} \right) \right\}$$

this is exactly
the contribution
of the SP term

this vanishes exactly in 2d,
i.e. $A = stu = 0 \Rightarrow B = C = 0$

this vanishes in 2d
but leads to $B, C \neq 0$

This result contains many lessons:

- From the point of view of EFT, we see that $D=26$ is special since

$A=0$, i.e. there are no annihilations of the NG string to $\mathcal{O}(\ell_s^4)$. This

is a hint of the integrability of the NG action in $D=26$, which will

be discussed in the next lecture.

($D=3$ is also special since in this case the full amplitude is proportional to $M_{\text{loop}} \propto s^3 + t^3 + u^3 = 0$ so there are no interactions at $\mathcal{O}(\ell_s^5)$)

- The ψ PS term is already included in the NG action, but it appears at one-loop.
 effect of the

Here, while the PS term appears as a nonlocal interaction in the **Wilsonian action** in the "conformal gauge", it appears as a nonlocal interaction in the **1PI action** in the static gauge.
 $h_{\alpha\beta} = \partial_\alpha X^\mu \partial_\beta X_\mu$ is conformally flat

- Interestingly, we find that the log terms disappear the amplitude to $\mathcal{O}(k^4)$ so that it becomes purely polynomial. For example for the B-term in $D=26$ in the "t-channel" with $t=0$ we have:

$$B_{1\text{-loop}} = -\frac{k_s^4}{192\pi} \left[(D-26) t^3 + 12 s u \left(s \log \frac{t}{s+i0^+} + u \log \frac{t}{u+i0^+} \right) \right] = \frac{i k_s^4}{16} s^3$$