

# Single-trace $T\bar{T}$ deformations and string theory

## Lecture II

Luis Apolo

University of Amsterdam  
& Bimsa

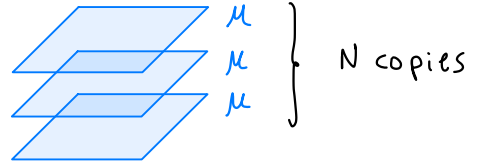
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# Symmetric orbifolds

$$\text{Sym}^N \mathcal{M} \equiv \frac{\mathcal{M}^N}{S_N}$$

$\nearrow$  seed theory  
 $\searrow$  symmetric group



• If  $\mathcal{M}$  is a CFT: (1)  $\text{Sym}^N \mathcal{M}$  is also a CFT (modular inv.)

holographically desirable  $\left\{ \begin{array}{l} (2) c = N c_{\mathcal{M}}, \quad N \rightarrow \infty \Rightarrow \text{large-}c \text{ limit} \\ (3) \text{ low energy spectrum doesn't grow with } N \\ (4) \text{ correlators factorize at large-}N \end{array} \right.$

undesirable  $\leftarrow$  (5) infinite # of higher spin currents

(1) Untwisted sector: symmetrized product of states in  $\mathcal{M}^N$

$$\Phi = \text{Sym} \left( \otimes_{i=1}^N \phi^{(i)} \right) = \text{Sym} \left( \phi^{(1)} \otimes \phi^{(2)} \otimes \dots \otimes \phi^{(N)} \right)$$

$\downarrow$   
 large reduction on the # of states

• Single-particle states:

$$\Phi = \phi^{(1)} \otimes \mathbb{1} \otimes \dots \otimes \mathbb{1} + \mathbb{1} \otimes \phi^{(2)} \otimes \mathbb{1} \otimes \dots \otimes \mathbb{1} + \dots, \quad h_{\Phi} = h^{(1)}$$

e.g. the stress tensor

$$T = T^{(1)} \otimes \mathbb{1} \otimes \dots \otimes \mathbb{1} + \dots \equiv \sum_{i=1}^N T^{(i)}$$

$$T(z)T(0) = \sum_{i=1}^N T^{(i)}(z)T^{(i)}(0) = \frac{N c_{\mathcal{M}}/2}{z^4} + \frac{2T(0)}{z^2} + \frac{\partial T(0)}{z}$$

• Multi-particle states :

$$\Phi = \phi^{(1)} \otimes \phi^{(2)} \otimes \mathbb{1} \otimes \dots \otimes \mathbb{1} + \phi^{(1)} \otimes \mathbb{1} \otimes \phi^{(3)} \otimes \dots \otimes \mathbb{1} + \dots, \quad h_{\Phi} = \sum h^{(i)}$$

e.g. for the stress tensor

$$T^{(1)} \otimes T^{(2)} \otimes \mathbb{1} \otimes \dots \otimes \mathbb{1} + \dots = \sum_{i \neq j}^N T^{(i)} T^{(j)}$$

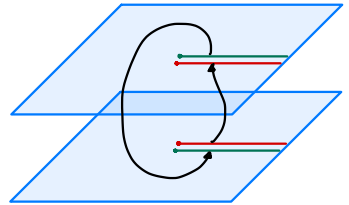
Exercise: show that  $W_4 = \sum_{i=1}^N [ (T^{(i)} T^{(i)}) - \frac{3}{10} \partial^2 T^{(i)} ] - \alpha \sum_{i \neq j}^N T^{(i)} T^{(j)}$

is a spin-4 current, where  $(AB)(z) = \oint \frac{dw}{2\pi i} A(w) B(z)$ ,  $\alpha = \frac{\frac{22}{5}c_M + 1}{N-1}$

(2) Twisted states : states needed for modular invariance

$\phi_{(n)}$  : "connects"  $n$  copies of  $M^N$

↓  
twist  $\mathcal{O}^{(j)}(e^{2\pi i} z) = \mathcal{O}^{g(j)}$ ,  $g \in S_N$



• single-particle spectrum

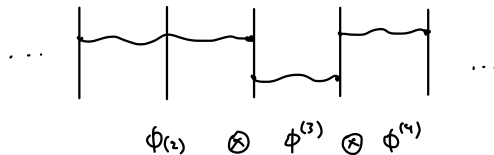
$$h_{(n)} = \frac{h}{n} + \frac{c_M}{24} \left( n - \frac{1}{n} \right), \quad \text{for each } h \in \mathcal{M}$$

$$h_{(n)} - \bar{h}_{(n)} \in n\mathbb{Z}$$

$$E_L^{(n)} = h_{(n)} - \frac{\eta c_M}{24} = \frac{E_L}{n}, \quad \text{for each } E_L \in \mathcal{M}$$

• multi-particle spectrum

$$E_L^{(1)} = \frac{E_L}{2}$$



## Single-trace $T\bar{T}$

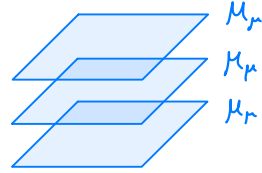
- A  $\text{Sym}^N \mathcal{M}$  allows to define the single trace  $T\bar{T}$  deformation:

$$\frac{\partial \mathcal{S}}{\partial \mu} = -4 \int (T\bar{T})_{ST}, \quad (T\bar{T})_{ST} = \sum_{i=1}^N (T_{++}^{(i)} T_{--}^{(i)} - T_{+-}^{(i)2})$$

†

$$T\bar{T} = \sum_{i,j} (T_{++}^{(i)} T_{--}^{(j)} - T_{++}^{(i)} T_{--}^{(j)})$$

- $(T\bar{T})_{ST}$  takes  $\text{Sym}^N \mathcal{M} \rightarrow \text{Sym}^N \mathcal{M}_\mu$



- $\text{Sym}^N \mathcal{M}_\mu$  has the same symmetries as  $\mathcal{M}_\mu$  (modular invariance)

$$\Rightarrow \mathcal{Z}_N(\gamma\tau, \gamma\bar{\tau}; \gamma\hat{\mu}) = \mathcal{Z}_N(\tau, \bar{\tau}; \hat{\mu})$$

## The partition function:

$$\mathcal{Z}_N(\tau, \bar{\tau}, \hat{\mu}) = \underbrace{\mathcal{Z}_N^{\text{untwisted}}(\tau, \bar{\tau}; \hat{\mu})}_{\text{universal for any } \text{Sym}^N \mathcal{M}} + \underbrace{\mathcal{Z}_N^{\text{twisted}}(\tau, \bar{\tau}; \hat{\mu})}_{\text{depends on } \mathcal{M}, \text{ determined from modular invariance}}$$

- (i) Untwisted sector: (set  $N=3$  for simplicity)

$$\mathcal{Z}_N^{\text{untwisted}}(\tau, \bar{\tau}; \hat{\mu}) = \text{Tr}_{\text{untwisted}} (q^{E_C(\hat{\mu})} \bar{q}^{E_{\bar{C}}(\hat{\mu})}) = \sum_{i=1}^3 \mathcal{Z}^{(i)}(\tau, \bar{\tau}; \hat{\mu})$$

- i)  $\Phi(i) = \phi_i^{(1)} \otimes \phi_i^{(2)} \otimes \phi_i^{(3)}$ , e.g. the vacuum

$$E = 3E_i \Rightarrow \mathcal{Z}^{(1)}(\tau, \bar{\tau}; \hat{\mu}) = \mathcal{Z}(3\tau, 3\bar{\tau}; \hat{\mu}) \rightarrow$$

$\mathcal{Z}(\tau, \bar{\tau}; \hat{\mu})$  is the partition function of the seed

ii)  $\Phi_{(i,j)} = \phi_i^{(1)} \otimes \phi_j^{(2)} \otimes \phi_j^{(3)}$ ,  $i \neq j$ , e.g. stress tensor

$$E = 2E_i + E_j \Rightarrow z^{(2)}(c, \bar{c}; \hat{\mu}) = \underbrace{z(2c, 2\bar{c}; \hat{\mu}) z(c, \bar{c}; \hat{\mu})}_{\supset q^{2E_i} q^{E_j}} - z(3c, 3\bar{c}; \hat{\mu})$$

iii)  $\Phi_{(i,j,k)} = \phi_i^{(1)} \otimes \phi_j^{(2)} \otimes \phi_k^{(3)}$ ,  $i \neq j \neq k$ ,  $E = E_i + E_j + E_k$

$$z^{(1)}(c, \bar{c}; \hat{\mu}) = \frac{1}{3!} [z(c, \bar{c}; \hat{\mu})^3 - 3z^{(2)}(c, \bar{c}; \hat{\mu}) - z^{(3)}(c, \bar{c}; \hat{\mu})]$$

Altogether, we have:

$$z_N^{\text{untwisted}} = \frac{1}{3!} [z(c, \bar{c}; \hat{\mu})^3 + 3z(2c, 2\bar{c}; \hat{\mu})z(c, \bar{c}; \hat{\mu}) + 2z(3c, 3\bar{c}; \hat{\mu})]$$

Structure of  $S_3$ :

	conjugacy class	cycle	partition function
$abc \rightarrow abc$	$1^3$	$\{3, 0, 0\}$	$z(c, \bar{c}; \hat{\mu})$
$\rightarrow bac, cba, acb$	$2_2 \cdot 1$	$\{2, 2, 0\}$	$z(2c, 2\bar{c}; \hat{\mu})$
$\rightarrow bca, cab$	$2_3$	$\{0, 0, 3\}$	$z(3c, 3\bar{c}; \hat{\mu})$

$$\Rightarrow z_3^{\text{untwisted}} = \frac{1}{3!} (\text{cycle index of } S^3)$$

For any  $\text{Sym}^N \mathcal{K}$  we have

$$z_N^{\text{untwisted}} = \frac{1}{N!} (\text{cycle index of } S^N)$$

$$= \frac{1}{N!} \sum_{\{k_1, \dots, k_N\}} \underbrace{\frac{N!}{\prod_{n=1}^N n^{k_n} k_n!}}_{\substack{\# \text{ elements in} \\ \text{conjugacy class}}} \prod_{n=1}^N z(nc, n\bar{c}; \hat{\mu})^{k_n}$$

$\sum_{n=1}^N n k_n = N$

Exercise: check this formula for  $N=4$

(2) Twisted sector:

Note that  $z_n^{\text{untwisted}}$  is not modular invariant since for each  $n > 1$

$$z(nc, n\bar{c}; \hat{\mu}) \xrightarrow{T} z(nc, n\bar{c}; \hat{\mu}) \quad \text{since } \epsilon_L(\hat{\mu}) - \epsilon_R(\hat{\mu}) = P(0)$$

$$z(nc, n\bar{c}; \hat{\mu}) \xrightarrow{S} z\left(-\frac{n}{c}, -\frac{n}{\bar{c}}; \frac{\hat{\mu}}{n^2}\right) = z\left(\frac{c}{n}, \frac{\bar{c}}{n}; \frac{\hat{\mu}}{n^2}\right)$$

Make each  $z(nc, n\bar{c}; \hat{\mu})$  invariant by adding its modular images.

For  $n$  prime

$$z(nc, n\bar{c}; \hat{\mu}) + z\left(\frac{c}{n}, \frac{\bar{c}}{n}; \frac{\hat{\mu}}{n^2}\right) + \sum_{\alpha=1}^{n-1} z\left(\frac{c+\alpha}{n}, \frac{\bar{c}+\alpha}{n}; \frac{\hat{\mu}}{n^2}\right)$$

Exercise: show that  $\sum_{\alpha=1}^{n-1} z\left(\frac{c+\alpha}{n}, \frac{\bar{c}+\alpha}{n}; \frac{\hat{\mu}}{n^2}\right)$  is invariant under  $S$  transformations when  $n$  is a prime.

For general  $n$ , the modular invariant combination is

$$T_n \cdot z(c, \bar{c}; \hat{\mu}) = \sum_{\gamma|n} \sum_{\alpha=0}^{\gamma-1} z\left(\frac{n\alpha + c}{\gamma}, \frac{n\alpha + \bar{c}}{\gamma}; \frac{\hat{\mu}}{\gamma^2}\right)$$

↳ generalization of the Hecke operator

$$T_n \cdot z(c, \bar{c}; \hat{\mu}) = z(nc, n\bar{c}; \hat{\mu}) + \underbrace{\sum_{\substack{\gamma|n \\ \gamma \neq 1}} \sum_{\alpha=0}^{\gamma-1} z\left(\frac{n\alpha + c}{\gamma}, \frac{n\alpha + \bar{c}}{\gamma}; \frac{\hat{\mu}}{\gamma^2}\right)}_{\text{twisted sector}}$$

Partition function of  $\text{Sym}^N \mathcal{M}_\mu$ :

$$z(n\zeta, n\bar{c}; \hat{\mu}) \rightarrow T_n \cdot z(\zeta, \bar{c}; \hat{\mu}), \quad T_1 = 24$$

$$Z_N(\zeta, \bar{c}; \hat{\mu}) = \frac{1}{N!} \sum_{\{k_1, \dots, k_N\}} \frac{N!}{\prod_{n=1}^N n^{k_n} k_n!} \prod_{n=1}^N [T_n \cdot z(\zeta, \bar{c}; \hat{\mu})]^{k_n}$$

Generating functional:

$$\mathcal{Z}(\zeta, \bar{c}; \hat{\mu}) = \exp\left(\sum_{n=1}^{\infty} \frac{\rho^n}{n} T_n \cdot z(\zeta, \bar{c}; \hat{\mu})\right) = \sum_{n=1}^{\infty} \rho^n z_n(\zeta, \bar{c}; \hat{\mu})$$

Comments:

- Same formulae as CFT with more general definition of  $T_n$
- At large  $N$  (large  $c$ ):

$$z_N(\zeta, \bar{c}; \hat{\mu}) \approx \begin{cases} e^{-\beta E_0(\hat{\mu})}, & \beta > 2\pi \\ e^{-\beta' E_0(\hat{\mu}')} & \beta < 2\pi \end{cases}$$

where  $E_0(\hat{\mu}) = \frac{N}{2\hat{\mu}} \left( \sqrt{1 - \frac{c\hat{\mu}}{3N}} - 1 \right) \rightarrow$  different from double trace:

$$E_0(\hat{\mu}) = \frac{1}{2\hat{\mu}} \left( \sqrt{1 - \frac{c\hat{\mu}}{3}} - 1 \right)$$

In the microcanonical ensemble:

$$S(\hat{\mu}) = 2\pi \left( \sqrt{\frac{c}{6} R E_L(\mu) \left[ 1 + \frac{2\mu}{RN} E_R(\mu) \right]} + \sqrt{\frac{c}{6} R E_R(\mu) \left[ 1 + \frac{2\mu}{RN} E_L(\mu) \right]} \right)$$

↳ differs slightly from the double trace case

# The spectrum of twisted states

$$T_n \cdot Z(c, \bar{c}; \hat{\mu}) = \sum_{\gamma | n} \sum_{\alpha=0}^{\gamma-1} Z\left(\frac{\eta c + \alpha \gamma}{\gamma^2}, \frac{\eta \bar{c} + \alpha \gamma}{\gamma^2}; \frac{\hat{\mu}}{\gamma^2}\right)$$

↳  $\gamma \neq 1 \Rightarrow$  twisted sector

In order to get the spectrum write:

$$Z\left(\frac{\eta c + \alpha \gamma}{\gamma^2}, \frac{\eta \bar{c} + \alpha \gamma}{\gamma^2}; \frac{\hat{\mu}}{\gamma^2}\right) = \text{Tr} \left( q^{(n|\gamma^2) E_L(\hat{\mu}|\gamma^2)} \bar{q}^{(n|\gamma^2) E_R(\hat{\mu}|\gamma^2)} e^{(2\pi i \alpha / \gamma) J(0)} \right)$$

$$\sum_{\alpha=0}^{\gamma-1} e^{(2\pi i \alpha / \gamma) J(0)} = \gamma \delta_{J(0) \bmod \gamma} \rightarrow \text{this condition is already satisfied by } \text{Sym}^N \mathcal{M}$$

$$\begin{aligned} \Rightarrow T_n \cdot Z(c, \bar{c}; \hat{\mu}) &= \sum_{E_{L,R}} \rho(E_L, E_R) q^{n E_L(\hat{\mu})} \bar{q}^{n E_R(\hat{\mu})} \\ &+ \sum_{\substack{\gamma | n \\ \gamma \neq 1, n}} \sum_{E_{L,R}} \gamma \rho(E_L, E_R) q^{(n|\gamma^2) E_L(\hat{\mu}|\gamma^2)} \bar{q}^{(n|\gamma^2) E_R(\hat{\mu}|\gamma^2)} \delta_{J \dots} \\ &+ \sum_{E_{L,R}} n \rho(E_L, E_R) q^{(1|n) E_L(\hat{\mu}|n)} \bar{q}^{(1|n) E_R(\hat{\mu}|n)} \delta_{J \bmod n} \end{aligned}$$

① untwisted multiparticle state

$$\phi^{(1)} \otimes \phi^{(2)} \otimes \dots \otimes \phi^{(n)} \Rightarrow E_{L,R}^{\text{total}} = n E_{L,R}$$

② twisted multiparticle state (iff  $n \neq \text{prime}$ ) with twist  $\gamma < n$

$$\underbrace{\phi^{(1)} \otimes \phi^{(2)} \otimes \dots \otimes \phi^{(n)}}_{n|\gamma} \Rightarrow E_{L,R}^{\text{total}} = \frac{n}{\gamma} E_{L,R}^{(s)}$$



③ twisted single particle state

$$\text{Sym}(\phi_{1n}) \otimes \mathcal{H} \otimes \dots \otimes \mathcal{H} \quad \Rightarrow \quad \underbrace{E_{L,R}^{(n)}(\hat{\mu}) = \frac{1}{n} E_{L,R}(\hat{\mu}|n^2)}_{\text{same formula for CFT up to the rescaling of } \hat{\mu}}$$

The spectrum of single particle states in single-trace  $T\bar{T}$ -def CFTs can be written as ( $n=1$  for the untwisted sector)

$$E_{L,R}^{(n)}(0) = E_{L,R}^{(n)}(\mu) + \frac{2\mu}{n} E_L^{(n)}(\mu) E_R^{(n)}(\mu), \quad \mathcal{J}^{(n)}(\mu) = \mathcal{J}^{(n)}(0) \in \mathcal{I}$$

### Comments

- Single-trace spectrum and density of states: additional factors of  $n$  and  $N$ . Single-trace spectrum contains sums of  $\sqrt{\quad}$ 's!
- Modular invariance is compatible with both the single and double trace spectra.
- The entropy in the single-trace case is the same as Cardy's formula in  $\text{Sym}^N \mathcal{M} \Rightarrow$  no change in the # of dofs.

$$\begin{aligned} S(\hat{\mu}) &= 2\pi \left( \sqrt{\frac{c}{6} R E_L(\mu) \left[ 1 + \frac{2\mu}{RN} E_R(\mu) \right]} + L \leftrightarrow R \right) \\ &= 2\pi \left( \sqrt{\frac{c}{6} R E_L^{(N)}(0)} + \sqrt{\frac{c}{6} R E_R^{(N)}(0)} \right) \\ &= S(0) \quad \hookrightarrow \text{maximally-twisted state} \end{aligned}$$

## String theory on $AdS_3$

Let us consider string theory on  $AdS_3 \times S^3 \times T^4$ . We focus on the bosonic  $AdS_3$  sector with NS-NS flux. The low energy effective description is IIB sugra:

$$I = \frac{2\pi}{(2\pi l_s)^7} \int d^{10}x \sqrt{|g|} e^{-2\phi} \left\{ \Omega - 4 \partial_\mu \phi \partial^\mu \phi - \frac{1}{12} H_{\mu\nu\alpha} H^{\mu\nu\alpha} \right\}$$

$\hookrightarrow H = dB$

The background features electric and magnetic charges

$$Q_e = \frac{1}{(2\pi l_s)^6} \int_{S^3 \times T^4} e^{-2\phi} *H = \rho, \quad Q_m = \frac{1}{(2\pi l_s)^2} \int_{S^3} H = \kappa$$

$\hookrightarrow$  F1 brane is electrically charged under B

$\hookrightarrow$  NS5 brane is magnetically charged under B

Related to the fact that  $AdS_3 \times S^3 \times T^4$  originates from the near horizon limit of  $\rho$  F1 and  $\kappa$  NS5 branes  $\Rightarrow$  S-dual of the D1-D5 system.

The space of asymptotically  $AdS_3$  solutions can be parametrized as

$$ds^2 = l^2 \left\{ \frac{dr^2}{4(r^2 - 4T_\mu^2 T_\nu^2)} - r du dv + T_\mu^2 du^2 + T_\nu^2 dv^2 \right\} + ds_{S^3} + ds_{T^4}$$

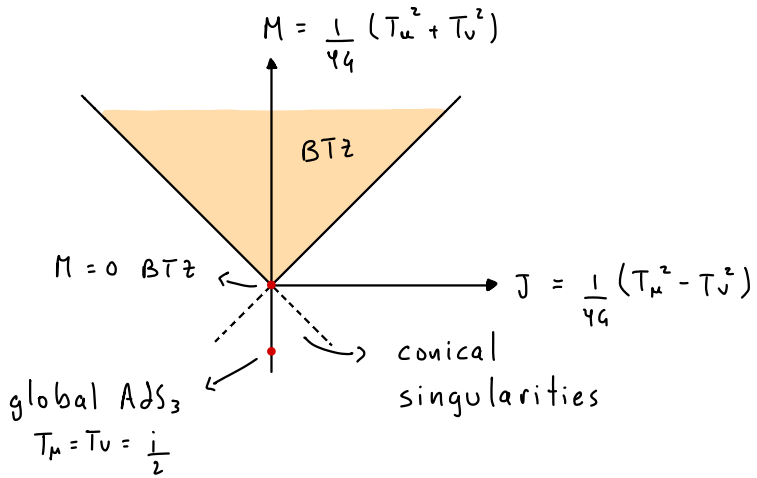
$\downarrow$   
AdS scale

$$\downarrow \quad u = t + \varphi, \quad v = t - \varphi, \quad \varphi \sim \varphi + 2\pi$$

$$B = \frac{l^2}{2} r du \wedge dv + B_{\Omega_3}$$

$$e^{2d} = \frac{\mu}{\rho}, \quad \mu \equiv \frac{l^2}{l_s^2}, \quad \mu \gg 1, \quad \rho \gg 1 \rightarrow \text{weak string coupling}$$

↳ semiclassical limit



String theory on  $AdS_3$  with NS-NS flux admits a perturbative worldsheet description as an  $SL(2, \mathbb{R}) \times SU(2)$  WZW model,

$$S_{\text{WZW}} = \frac{12}{16\pi} \int_{\partial\mathcal{M}} \text{Tr}(\partial_a g^{-1} \partial^a g) + \mu \Gamma, \quad g \in SL(2, \mathbb{R})$$

$$\propto \int_{\mathcal{M}} d^3x \epsilon^{abc} \text{Tr}(g^{-1} \partial_a g \partial_b g^{-1} \partial_c g)$$

or as a NLSM. The  $AdS_3$  part of the action is:

$$S = - \frac{1}{2l_s^2} \int d^2z \left( \underbrace{\sqrt{-\eta} \eta^{ab} G_{\mu\nu}}_{\text{worldsheet metric}} + \underbrace{\epsilon^{ab} B_{\mu\nu}}_{\text{worldsheet coords}} \right) \partial_a X^\mu(z, \bar{z}) \partial_b X^\nu(z, \bar{z})$$

$\epsilon^{z\bar{z}} = -1$  target space coords:  $(\rho, u, v)$   
 $\uparrow$   $\uparrow$   
 worldsheet coords  $(z, \bar{z}) = (c + \sigma, c - \sigma)$   $\downarrow$  worldsheet metric  $ds^2 = -dzd\bar{z}$

$$= \frac{1}{l_s^2} \int d^2z \Pi_{\mu\nu} \partial X^\mu \bar{\partial} X^\nu, \quad \Pi_{\mu\nu} = G_{\mu\nu} + B_{\mu\nu}$$

For global  $AdS_3$ :

$$S = \kappa \int d^2z \left( \frac{\partial r \bar{\partial} r}{4r^2 - 1} - \frac{1}{4} \partial u \bar{\partial} u - \frac{1}{4} \partial v \bar{\partial} v - r \bar{\partial} u \partial v \right)$$

Exercise: Parametrize the group elements of  $SL(2, \mathbb{R})$  by  $T^3 = -\frac{i}{2} \sigma^2$ ,  $T^\pm = \frac{1}{2} (\sigma^3 \pm i \sigma^1)$ . Use  $g = e^{u T^3} e^{\frac{1}{2} \log(2r + \sqrt{4r^2 - 1}) (T^+ + T^-)} e^{v T^3}$  to show the EOM of the NLSM match those of the wzw model ( $T_u = T_v = \frac{i}{2}$ ).

The worldsheet action is invariant under

$$\hat{SL}(2, \mathbb{R})_L \times \hat{SL}(2, \mathbb{R})_R$$

↳ obtained in the wzw formulation by  $\delta g = \omega(z) g + g \bar{\omega}(\bar{z})$

↳ or from the isometries of  $AdS_3$  with worldsheet dependent parameters (e.g.  $\delta u(z, \bar{z}) = \alpha(z)$ )

These symmetries are generated by the chiral wzw currents

$$J^a(z) = -\kappa \text{Tr}(T^a \partial g g^{-1}), \quad \bar{J}^a(\bar{z}) = \kappa \text{Tr}(\bar{T}^a g^{-1} \bar{\partial} g)$$

which are related to the Noether currents of NLSM by

$$j_{\text{Noether}}(z, \bar{z}) = J^a(z) + \theta(z, \bar{z}) \rightarrow \text{"topological" term,}$$

Example: for translations along  $X^M$  ( $\xi X^M = \xi^M$ ) we have

$$j_\xi = \xi^2 \xi^\nu (M_{\nu\mu} \bar{\partial} X^\mu \partial + M_{\mu\nu} \partial X^\mu \bar{\partial})$$

$$\xi = \partial u \Rightarrow j_{\partial u} = \underbrace{\frac{\kappa}{2} (\partial u - 2r \partial v)}_{J^3} \bar{\partial} + \frac{\kappa}{4} (\bar{\partial} u \partial - \partial u \bar{\partial})$$

For the  $M=0$  BTZ black hole all Noether currents are chiral, i.e.

$$j_{\text{Noether}} = \bar{J}^{\alpha} \bar{\partial} \quad \text{or} \quad j_{\text{Noether}} = \bar{J}^{\alpha} \partial$$

From the chiral part of  $j_{\text{Noether}}$  or the WZW current we obtain:

$$J_n^{\alpha} = \frac{1}{2\pi} \oint e^{in\bar{z}} J^{\alpha} \quad \Rightarrow$$

$$[J_n^3, J_m^3] = -\frac{\kappa}{2} n \delta_{n+m}$$

↳ particularly interested in  $J_0^3$

$$[J_n^3, J_m^{\pm}] = \pm J_{n+m}^{\pm}$$

$$[J_n^+, J_m^-] = -2J_{n+m}^3 + \kappa n \delta_{n+m}$$

Energy and angular momentum of the string ( $E = E_L + E_R$ ,  $P = E_L - E_R$ )

$$E_L = \frac{1}{2\pi} \oint j_{\partial u} = \frac{1}{2\pi} \oint J^3 + \frac{\kappa}{8\pi} \oint \partial_{\sigma} u, \quad E_R = \frac{1}{2\pi} \oint j_{\bar{\partial} v}$$

$J_0^3$                       winding

The worldsheet stress energy tensor (at large  $\kappa$ ):

$$T_{ab} = \frac{2}{\sqrt{-\eta}} \frac{\delta S}{\delta \eta^{ab}} \quad \Rightarrow \quad T = -l_s^{-2} G_{\mu\nu} \partial X^{\mu} \partial X^{\nu}$$

Exercise. compute the WZW currents and verify that the stress tensor matches the Sugawara stress tensor at large  $\kappa$

$$T = \frac{1}{\kappa-2} J^{\alpha} J^{\alpha} = \frac{1}{\kappa-2} \left[ \frac{1}{2} (J^+ J^- + J^- J^+) - (J^3)^2 \right]$$

Virasoro algebra:

$$L_n = -\frac{1}{2\pi} \oint T e^{in\bar{z}}, \quad [L_n, L_m] = (n-m) L_{n+m} + \frac{\tilde{c}}{12} n(n^2-1) \delta_{n+m},$$

$$\tilde{c} = \frac{3\kappa}{\kappa+2}$$

# The spectrum

Spectrum of strings on  $AdS_3 \rightarrow$  unitary reps of  $SL(2, \mathbb{R}) \times SL(2, \mathbb{Z})$

$$|j, m\rangle \rightarrow c_2 |j, m\rangle = -j(j-1) |j, m\rangle, \quad J_0^3 |j, m\rangle = m |j, m\rangle$$

$$c_2 \equiv \sum_a J_0^a J_0^a, \quad J_0^a \equiv \frac{1}{2\pi} \oint J^a$$

(1) Principal discrete reps (short strings)

$$D_j^\pm = \{ |j, m\rangle : m = \pm j, \pm(j+1), \pm(j+2), \dots \}, \quad J_0^\mp |j, \pm j\rangle = 0$$

$$\text{unitarity: } j \in \mathbb{Z}_0$$

wavefunction is  $L^2 \leftarrow \frac{1}{2} < j < \frac{k}{2} \rightarrow$  no ghost theorem

(2) Principal continuous reps (long strings)

$$C_j^\lambda = \{ |j, m\rangle : m = \lambda, \lambda \pm 1, \lambda \pm 2, \dots \}, \quad \lambda \in [0, 1)$$

$$\text{unitarity: } j = \frac{1}{2} + i s, \quad s \in \mathbb{R}$$

Virasoro constraints:

$$(L_0 - 1) |\Psi\rangle = 0 \Rightarrow \underbrace{-\frac{j(j-1)}{k-2}}_{\frac{c_2}{k-2} \text{ level}} + N + h = 1 \quad \downarrow \quad \hookrightarrow \text{internal manifold}$$

$\hookrightarrow$  for  $D_j^\pm$ :  $N$  is bounded from above (finite string excitations)

$\hookrightarrow$  for  $C_j^\lambda$ : only solution has  $N = h = 0$  (the tachyon)

This can be remedied by the inclusion of additional "flowed" representations.

## Spectral flow

Let's consider the EOM of the NLSM with general  $T_u, T_v$ :

$$\delta S = -\frac{1}{\ell_s^2} \int d^2z \left\{ (T(r) + \bar{\partial}u \partial v) \delta r - \left[ \frac{1}{2} \partial \bar{\partial} u + \bar{\partial}(r \partial v) \right] \delta u - \left[ \frac{1}{2} \partial \bar{\partial} v + \partial(r \bar{\partial} u) \right] \delta v \right\}$$

The EOM are invariant under the spectral flow transformation:

$$u \rightarrow u - w z, \quad v \rightarrow v - w \bar{z}$$

In general (non  $AdS_3$ ) spacetimes this is related to the existence of chiral currents.

Exercise: assume  $M_{\mu\nu}$  is invariant under translations along  $X^m$ .

Find the conditions on  $M_{\mu\nu}$  such that  $X^m \rightarrow X^m + w z$  leaves the EOM invariant.

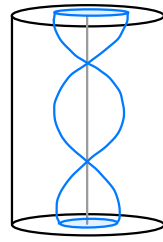
We can use spectral flow to generate winding string solutions:

$$\begin{array}{ccc} u(z), v(z), r(z) & \xrightarrow{SF} & \tilde{u}(z, \sigma), \tilde{v}(z, \sigma) \\ \downarrow & & \downarrow \\ \text{EOM} \Rightarrow \text{geodesic eq.} & & \tilde{u} = \frac{\tilde{u} - \tilde{v}}{2} = \frac{u - v}{2} - w \sigma \\ & & \Downarrow \\ & & \tilde{\varphi} \sim \varphi - 2\pi w \end{array}$$

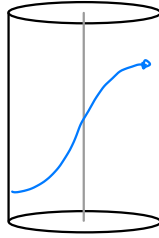
short strings:



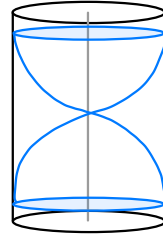
$SF \rightarrow$



long strings:



$SF \rightarrow$



The spectral flow transformation induces a transformation of the currents that leaves the algebra unchanged, i.e. it's an automorphism of the  $SL(2, \mathbb{Z})$  algebra:

easy to check using the results of a previous exercise.

$$J^3 \rightarrow \tilde{J}^3 = J^3 - \frac{k}{2} \omega, \quad J^\pm \rightarrow \tilde{J}^\pm = e^{\mp i 2\pi \omega} J^\pm, \quad \omega \in \mathbb{Z}$$

When the group is non compact, spectral flow generates new reps.

Hence, for  $SL(2, \mathbb{Z})$  we have:

$$D_j^{\pm, \omega}, \quad C_{\frac{j}{2} \pm i\epsilon}^{\lambda, \omega} \quad \text{where} \quad \frac{1}{2} < j < \frac{k-1}{2}$$

improved no-ghost theorem

Flowed stress tensor:

$$T = \frac{1}{k} \left[ \frac{1}{2} (J^+ J^- + J^- J^+) - (J^3)^2 \right] \Rightarrow \tilde{T} = T + \omega J^3 - \frac{k \omega^2}{4}$$

$$\Rightarrow \tilde{L}_0 = \frac{1}{2\pi} \oint \tilde{T} = L_0 + \omega J_0^3 - \frac{k \omega^2}{4}$$



The Virasoro constraints of a flowed state  $|j, m\rangle \rightarrow |\tilde{j}, \tilde{m}\rangle$  become

$$(L_0 - 1)|\tilde{\Psi}\rangle = 0 \quad \Rightarrow \quad -\frac{\tilde{j}(\tilde{j}-1)}{\kappa} - \omega \tilde{J}_0^3 - \frac{\kappa \omega^2}{4} + N + h = 1$$

- arbitrarily large
- $\omega$ -flowed continuous reps.

Recall the left moving energy of the string is given by

$$E_L^{(\omega)} = \frac{1}{2\pi} \oint j_{2\alpha} = \tilde{J}_0^3 + \frac{\kappa}{4} \omega$$

The Virasoro constraints become

$$-\frac{\tilde{j}(\tilde{j}-1)}{\kappa} - \omega E_L^{(\omega)} = 1 \quad \Rightarrow \quad E_L^{(1)} = -\frac{\tilde{j}(\tilde{j}-1)}{\kappa} - 1$$

$$\Rightarrow \quad E_L^{(\omega)} = \underbrace{\frac{1}{\omega} E_L^{(1)}}_{\text{energy of a twist-}\omega \text{ state in a symmetric orbifold!}}$$

energy of a twist- $\omega$  state in a symmetric orbifold!

Comments:

- For  $D_j^\pm$ ,  $E_L^{(1)} = -\frac{\tilde{j}(\tilde{j}-1)}{\kappa} - 1$  can not be generically solved since

$$\tilde{J}_0^3 |\tilde{j}, \tilde{m}\rangle = \tilde{m} |\tilde{j}, \tilde{m}\rangle, \quad \tilde{m} \in \mathbb{Z}$$

- For  $G_j^\wedge$ , we have  $\tilde{m} = \lambda \pm n$  with  $\lambda \in \mathbb{R}$ ,  $n \in \mathbb{Z}$ . Hence, it's possible to solve the Virasoro constraints!

$\Rightarrow$  spectrum of long strings captured by a symmetric orbifold where  $\omega =$  twist of the state ( $\omega=1 \rightarrow$  untwisted sector)

However, the holographic dual is not a symmetric orbifold

in tension with the semiclassical limit ( $\kappa \gg 1$ )  
of sugra: no tower of higher spins fields

At  $\kappa=1$  we don't have a semiclassical approximation but:

(1) there's an  $\infty$  tower of massless higher spin states

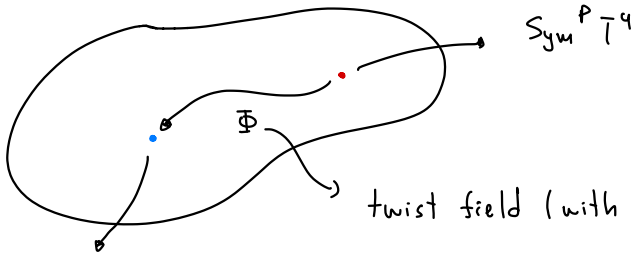
(2) there are no discrete reps

(3) only the  $s=0$   $C_j^\pm$  reps are present

discrete spectrum

$\Rightarrow$  At  $\kappa=1$  the holographic dual is  $\text{Sym}^P T^4$  with  $c=6p$

For general  $\kappa$  we expect to move along the conformal manifold



CFT with  $c=6k p$

twist field (with  $n=2$ ) that partially  
destroys the symmetric product  
structure

$\hookrightarrow$  long string spectrum still captured by a symmetric orbifold

$\hookrightarrow$  allows for the definition of single-trace deformations